



Discretionary Asset Manager nomination form

Notes to help you

This form should only be used for nominating a Discretionary Asset Manager to manage fund assets for both the life assured and capital redemption options of the following bonds (hereafter called "the bond") issued by Prudential International Assurance plc:

- Prudential International Investment Portfolio
- Portfolio Account
- Prudence Portfolio Bond (post 31 January 2006)

If you are nominating more than one Discretionary Asset Manager you should complete a separate form for each nomination.

If you have any queries while completing this form please speak to your Financial Adviser or call 0808 234 2200 and we will be happy to help. Calls may be recorded or monitored for quality, staff training, dispute resolution and/or security purposes.

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled. Please do not use correction fluid as this will invalidate your Discretionary Asset Manager nomination.

All assets will be selected from the Permitted Investments as agreed between Prudential International and your chosen Discretionary Asset Manager.

If you wish to amend your investment objectives or restrictions at any time you should contact your chosen Discretionary Asset Manager immediately.

All applicants and/or policy owners must complete and sign this form. If the bond is being held under trust, all trustees must sign this form. Where the applicant is a company, at least two directors or one director and the company secretary must complete and sign the form.

Note for advisers: It is important your client signs the customer agreement in section 5.

Please send the completed form to **Prudential International, Stirling, FK9 4UE.**

Is this nomination in connection with (*please tick as applicable*):

- ☐ A new bond
- ☐ An additional investment into an existing bond
- ☐ A switch of existing holdings

Section 1 – Applicant/Policyholder details

Part A – First (or only) applicant/policyholder

Surname

Full forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Part B – Second applicant/policyholder

Surname

Full forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Section 1 – Applicant/Policyholder details – continued

Part A – First (or only) applicant/policyholder

Permanent address (if the applicant is a company please state the registered office address):

Postcode

Bond number:

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(If you are applying for a new bond, this will be completed by Prudential International)

Do you authorise your Financial Adviser to provide investment instructions to your Discretionary Asset Manager on your behalf?

Yes ☐ No ☐

Section 2 – Details of your nominated Discretionary Asset Manager

Name of Discretionary Asset Manager

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If you are applying for a new bond, please state the percentage of the invested amount, after allocation and charges, that you wish to place with the Discretionary Asset Manager

%

If you are nominating the Discretionary Asset Manager for an existing bond, please state either the percentage of the bond value or the monetary amount that you wish to place with the Discretionary Asset Manager

%

 of bond value OR monetary amount and currency

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Discretionary Asset Manager annual management charge

%

Please insert the Annual Management Charge you have agreed with your nominated Discretionary Asset Manager.

Please note that this is the charge made by your Discretionary Asset Manager for their services and is applied to your bond as described in your Contract Conditions (amended, if required). Please contact your Discretionary Asset Manager for more information.

Section 3 – Details of your Financial Adviser

Adviser name

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Address

Postcode

Tel number

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Email address

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Section 4 – How we use your personal data

I consent to Prudential International Assurance plc and its associated group companies* and organisations with whom they have a relationship, including Capita Life & Pensions Services (Ireland) Limited which forms part of the Capita Group, holding, processing and using my information in order to decide upon my application for a life assurance policy (including any renewals or new life assurance products) and for administration, management, risk assessment, research and statistical analysis and marketing purposes.

I consent to Prudential International Assurance plc and its associated group companies* using my information to inform me (including by telephone) of other products and services offered by them, or, please do not send me any information if the box below is ticked:

I do not wish to be contacted ☐

I am aware that I have a right to apply for a copy of the information held by you about me (for which a small charge may be made) and that I have a right to have any inaccuracies corrected.

I further acknowledge that Prudential International Assurance plc may be required to transfer my personal data to other companies within the Prudential Group when necessary and appropriate and that this may involve the transfer of my personal data to countries outside of the European Economic Area, including countries which may not have adequate data protection laws in place. A full list of countries to which my data may be transferred is available to me on request. On transferring my personal data, Prudential International Assurance plc will take reasonable measures to ensure the security and integrity of my personal information.

I further consent to the transfer of my personal data outside of the European Economic Area for purposes set out above.

To prevent and detect fraud we may share your data with other organisations, including the police, and check and/or file your data with fraud prevention agencies and databases, and if we are given false or inaccurate information and we suspect fraud, we will record this.

* Prudential International Assurance plc and all Prudential companies are members of the Prudential Group.

☐ From time to time we may survey our customers regarding the level of our service.
Please tick here if you do not wish to be included in any future survey.

Section 5 – Customer agreement

I/We confirm that the above information is correct and will notify Prudential International of any changes to my/our personal details as set out in section 1.

I/We nominate the above named person or entity as Discretionary Asset Manager for the fund to which my/our bond is to be linked, subject to the terms and conditions set out below.

I/We understand that Prudential International will not be responsible for any loss or liability caused to the fund resulting from advice given by or negligence of the above named Discretionary Asset Manager or for the investment return produced by the fund.

I/We acknowledge that Prudential International will not be liable or responsible for the performance and/or any loss (including insolvency or liquidation of the Discretionary Asset Manager) caused to my/our bond as a consequence of the acts or omissions of the Discretionary Asset Manager. I/We also acknowledge that the only obligation on Prudential International on partial or total surrender of the bond is to pay a sum of money equivalent to the sum actually received from the Discretionary Asset Manager (less any accrued charges due) and no more.

I/We acknowledge that Prudential International has not given nor will give me/us investment advice in relation to the fund. I am/We are aware that the Portfolio Account and Prudential International Investment Portfolio Contract Conditions set certain restrictions on the investment of the fund and that Prudential International has the right to require that the Discretionary Asset Manager disinvests from certain investments in some circumstances*.

I/We understand that any charges levied by my/our Discretionary Asset Manager will be charged against my/our bond as described in my/our Contract Conditions (amended, if required).

I/We undertake to inform Prudential International immediately if I/we wish to amend or cancel this instruction.

I/We confirm that I/we will immediately inform my/our chosen Discretionary Asset Manager of any amendment to my/our investment objectives or restrictions.

I/We acknowledge that Prudential International reserves the right in its absolute discretion to reject any recommendation given by the Discretionary Asset Manager.

Name of first or only applicant/policyholder

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Name of second applicant/policyholder

Signature

Date

D	D	M	M	Y	Y	Y	Y
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*You should ask your Financial Adviser for a copy of the Contract Conditions.

To be completed by the Discretionary Asset Manager

We confirm that we have accepted the client(s) detailed above as investors under our applicable Account Opening Agreement.

We further confirm that the agreed Annual Management Charge is %

Client reference number: (to be quoted on all correspondence)

Further information

Signed on behalf of

Name of Discretionary Asset Manager

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
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